The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Thursday, February 15, 2018, 9:30-10:30 a.m.
Reese Building, Fifth Floor, Room 524

Agenda

The Board Chair will state the following at the beginning of each meeting: In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.

1. Call to Order
   Joe L. Price, Chair

2. Approval of the November 28, 2017, Meeting Minutes
   Joe L. Price, Chair
   Documents: November 28, 2017, Executive Committee Meeting Minutes—
   Open Session and Closed Session
   January 4, 2018, Executive Committee Meeting Minutes—
   Open Session and Closed Session

3. Revision of Big 5 Distinguished Professorship in Accounting
   Joan Lorden, Provost and Vice Chancellor
   Documents: January 8, 2018, Memo from Dean Ott to Provost Lorden
   January 16, 2018, Letter from Provost Lorden to Chancellor Dubois
   Plan to Establish a Distinguished Professorship of Accounting,
   Belk College of Business

4. Governance Authorities
   Philip L. Dubois, Chancellor
   Documents: February 8, 2018, Memo to UNC System Boards of Trustees’ Chairs
   and Chancellors
   Summary of Duties and Authorities of the Board of Trustees
   Examples of UNC Board of Governors’ Institution-Specific Actions
   by Committee of Jurisdiction
5. Motion to Convene in Closed Session
   Joe L. Price, Chair
   a. N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes; and
   b. N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.

Closed Session

6. Faculty Actions and Salary Adjustments
   Joan Lorden, Provost and Vice Chancellor for Academic Affairs
   Documents: Quarterly Report of Faculty Actions
               Personnel Action Requiring Approval of the Board of Trustees Quarterly Report of Faculty Salary Adjustments

7. EHRA Non-Faculty Actions and Salary Adjustments
   Beth Hardin, Vice Chancellor for Business Affairs
   Documents: Quarterly Report of EHRA Non-Faculty Actions
               Quarterly Report of EHRA Non-Faculty Salary Adjustments

8. Petition Regarding Political Activity
   Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
   Documents: December, 20, 2017, Email re: Petition Regarding Political Activity
               UNC General Administration Petition Regarding Political Activity
               Guidelines Concerning Use of University of North Carolina Resources for Political Campaign Activities
               Candidacy for Elective Office; Officeholding (Elective and Appointive Public Office), UNC Policy Manual 300.5.2

9. Athletics Search Update
   Philip L. Dubois, Chancellor

10. Motion to Resume in Open Session
    Joe L. Price, Chair

Open Session

11. Action on Tenure Request
    Joe L. Price, Chair

12. Action on Petition Regarding Political Activity
    Joe L. Price, Chair
13. Other Business
   Joe L. Price, Chair

14. Adjourn
   Joe L. Price, Chair

Members
Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Open Session Minutes

Present
Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Staff and Guests in Attendance
Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Mike Carlin, Vice Chancellor for Institutional Technology
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Ryan Johnson, American Council on Education Fellow
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Judy Rose, Director of Athletics
Jennifer Ward, Liaison to the Board of Trustees and the Cabinet
Leslie Zenk, Assistant Provost, Academic Affairs

Call to Order. Mr. Price called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Price requested action on the October 11, 2017, Executive Committee meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the October 11, 2017, meeting minutes.
**Department of Defense Resolution.** Chancellor Dubois reviewed the Department of Defense Resolution excluding Trustees and senior University staff from security clearance requirements for classified research. Mr. Price called for a motion to approve the Resolution for Exclusion of Certain Directors or Officers of the University of North Carolina at Charlotte.

**Action.** On a motion by Ms. Drew, seconded by Mr. Wilson, the Committee approved the resolution.

**IT Centralization—Scope and Plan.** Chancellor Dubois stated that a recent state audit of the University’s IT organization resulted in three findings related to decentralization and that as a result he had asked Mr. Carlin to develop a plan to address those findings. Mr. Carlin reviewed the audit findings, which included increased demand for IT services, siloed IT service delivery, and a need to better leverage the University’s IT capabilities and resources. Mr. Carlin reviewed the plan to address these concerns, including the University’s objectives, approach, and means of assessment. Mr. Carlin stated that consulting firm BerryDunn has been hired to conduct an assessment of the University’s central and distributed IT organizational structures. Ms. Schulte asked whether efforts to manage risk and improve security will include cybersecurity, and Mr. Carlin stated that he is personally managing cybersecurity and that a risk matrix will be developed.

**Motion to Convene in Closed Session.** Mr. Price requested a motion to convene in closed session.

**Action.** On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

a. **N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and**

b. **N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award.**

**Closed Session**

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

**Open Session**

**Adjourn.** There being no further business before the Committee, Mr. Price adjourned the meeting.
Open Session Minutes

Present
Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Staff in Attendance
Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Jennifer Ward, Liaison to the Board of Trustees and the Cabinet

Call to Order. Mr. Price called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Mr. Jamil, seconded by Ms. Schulte, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

*N.C.G.S. §143.318.11(a)(2), to prevent the disclosure of State employee personnel records not subject to inspection and examination under N.C.G.S. 132-6.*

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.
Open Session

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted: ________________________________
Kim S. Bradley
Assistant Secretary
UNC Charlotte Board of Trustees

Approved: ________________________________
Joe L. Price
Chair
UNC Charlotte Board of Trustees
MEMORANDUM

To: Joan Lorden, Provost

From: Steve Ott, Dean

Date: January 8, 2018

RE: Updated recruiting plan for the Big 5 Distinguished Professorship in Accounting

Please find attached a revised recruiting plan for the Big 5 Distinguished Professorship in Accounting, which has not been updated since the original position was established.

There are six changes in the plan. The first and second changes are relatively minor. First, the plan now incorporates the current name of the Belk College of Business and the Turner School of Accountancy. The previous version of the plan referred to the College as the Belk College of Business Administration and to the School as the Department of Accounting. Second, the name of the position will be changed from the Big 5 Distinguished Professorship in Accounting to simply the Distinguished Professorship of Accounting. Given that Arthur Andersen, one of the Big 5 accounting firms, is no longer operating, the new name is simpler and can more easily accommodate future industry changes.

The third change is also minor. In the original document, the language was forward looking and reflected the fact that the endowment was not yet fully funded. The revision reflects the fact that the position has been actively filled for several decades.

The fourth change is substantive in that we seek to be able to recruit at either a full Professor or an Associate Professor. Our view is that searching at both levels will significantly expand the pool of potential applicants. We also anticipate that it may be more cost-effective to hire a senior Associate Professor as opposed to a full Professor.

The fifth change is also substantive. When the Distinguished Professorship was established, there was less emphasis on research at UNC Charlotte and more emphasis on providing non-degree continuing education to business professionals. The revision reflects an increased emphasis in the Belk College of Business on conducting research that informs accounting practice and a decreased emphasis on providing continuing education for business professionals.
Finally, the sixth change is substantive as well. The original plan did not have a defined appointment period. Consistent with other recent Distinguished Professor positions established in the Belk College of Business, the plan has been modified to provide for a five year appointment, which is renewable if recommended by the Dean.

Prior to making this request, the following individuals were consulted from the following firms: 1) Theresa Drew from Deloitte, 2) Joe Paradise from KPMG, 3) Malcomb Coley from EY, and 4) Crawford Pounds from PwC. Deloitte, KPMG, EY, PwC and Arthur Anderson were among the original Big 5 donors, but Arthur Anderson is no longer operating. All four individuals supported the revisions to the plan.
January 16, 2018

Chancellor Philip Dubois
UNC Charlotte

Dear Phil,

Enclosed is a revised plan for the Distinguished Professorship of Accounting. The original plan has been modified to change the name of the professorship from “Big 5 Distinguished Professorship in Accounting” to “Distinguished Professorship of Accounting” following consultation with the firms who established the professorship. The plan has also been changed in its focus and its ability to recruit at the level of Associate or Full Professor. These changes have been approved by the firm representatives as noted in the attached memo from Dean Ott.

The Rules and Regulations for the Distinguished Professors Endowment Trust Fund require: “When a vacancy occurs in an endowed chair established with the Endowment Fund, the chancellor shall consult with the institutional Board of Trustees. The chancellor shall thereafter recommend to the President any amendments to the approved plan or shall recommend continuation of the plan without amendment. Upon approval of the plan by the President, selection and appointment of the new distinguished professor shall then proceed in accordance with these regulations.”

Upon hearing of your approval, I will be happy to prepare a letter of transmittal to President Spellings.

Sincerely,

Joan F. Lorden
Provost and Vice Chancellor for Academic Affairs

Enclosure

cc: Leslie Zenk, Assistant Provost
MEMORANDUM

To: Joan Lorden, Provost
From: Steve Ott, Dean
Date: January 8, 2018
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Plan to Establish a Distinguished Professorship of Accounting  
Belk College of Business  
The University of North Carolina at Charlotte  

Revised January 8, 2018

In 1991 a commitment was made by the Board of Directors of the Foundation of The University of North Carolina at Charlotte to provide private funds in the amount of $333,000 for a Distinguished Professorship of Accounting based on a commitment made to the Foundation by the Big Six accounting firms. The original name of the Distinguished Professorship of Accounting, which was the Big 5 Distinguished Professorship in Accounting, was determined by the Board of Trustees of The University of North Carolina at Charlotte in dialogue with the managing partners of the accounting firms. The Distinguished Professorship was created to strengthen the course offerings in accounting, scholarly research in accounting that informs practice, and interactions with the Charlotte accounting profession and business community.

Terms:

a) A single Distinguished Professorship will be supported by this endowment.

b) The annual income from the endowment will be used to provide an annual salary supplement as well as to support the research and scholarship of the appointee.

c) The Distinguished Professorship will be assigned to the Turner School of Accountancy in the Belk College of Business. Salary will be commensurate with the qualifications of the individual selected and the current scale within the College. The base funding for the position will be provided from existing and anticipated college resources.

The College is committed to further strengthening of its already strong accounting programs to serve the needs of its students, to prepare students for careers in accounting, and to conduct research that informs accounting practice. The College will work cooperatively with the accounting profession and with the various accounting professional societies in support of their activities.

d) The holder of the Distinguished Professorship in Accounting will have several major responsibilities. These include developing and teaching new courses in accounting. The Distinguished Professor will engage in significant scholarly research that informs business practice in accounting. The Distinguished Professor will be active in the business community, particularly in developing contacts with public accounting firms, other businesses, and governmental units.

UNC Charlotte anticipates several benefits from the establishment of this Distinguished Professorship of Accounting. Students will have an opportunity to study under a distinguished expert in accounting. The faculty in the entire College will have the opportunity to work with a recognized leader in the field of accounting. There will be opportunities for some College faculty to engage in joint research projects with the holder.
of the Distinguished Professorship. The Distinguished Professor of Accounting should help attract additional external funds to support the programs of the Turner School of Accountancy and the Belk College of Business. Finally, the Distinguished Professor of Accounting will help UNC Charlotte continue to develop regional and national stature in the accounting field.

e) The appointment of the Distinguished Professorship will be for a period of five years. The holder may be reappointed to subsequent five year terms upon the recommendation of the Dean.

f) The Distinguished Professor will be an Associate Professor or Professor with an exceptional track record of teaching and research. In accordance with the Rules and Regulations for the Distinguished Professors Endowment Trust Fund, Professors will hold the title of Distinguished Professor of Accounting and Associate Professors will hold the title of Distinguished Scholar of Accounting.

Selection:
A national search for this Distinguished Professorship will be conducted in accordance with the appointment and tenure policies of The University of North Carolina at Charlotte. Only persons who are not already on the UNC Charlotte faculty will be eligible to hold this Distinguished Professorship. The appointee shall have experience and credentials as a teacher and scholar commensurate with the rank of either Professor or Associate Professor in the Belk College of Business.
Plan to Establish a Distinguished Professorship of Accounting
Belk College of Business
The University of North Carolina at Charlotte

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Memorandum

To: UNC System Boards of Trustees’ Chairs and Chancellors

From: Steven Long, Chairman of the UNC Board of Governors’ Committee on University Governance

Date: February 8, 2018

I am writing to continue the discussion begun at the dinner that Chairman Bissette and President Spellings hosted last October and continued at the Boards of Trustees Workshop in November regarding the duties and authorities of the boards of trustees. As you recall, we discussed whether there might be certain authorities that are currently exercised by the Board of Governors that would be more appropriately exercised by boards of trustees.

We have continued this conversation over the past two meetings of the Board of Governors’ Committee on University Governance, and the committee is interested in receiving your suggestions. To do this, we request that you each set aside time at your next board meeting to discuss with your fellow trustees the attached governing authorities and send us a collective written response from your board answering the following questions:

1. What specific authorities or responsibilities does your board of trustees feel would be more appropriate for the board of trustees to exercise instead of the Board of Governors and why?
2. Are there any authorities or responsibilities exercised or decisions made by your board of trustees that you feel would be more appropriately exercised elsewhere (with the chancellor, president, or Board of Governors)?
3. Is there anything else related to the governance structure of the University that your board would like to share with us?

To aid in your conversation we have attached two documents.

1. Summary of duties and authorities of the boards of trustees (shared in November)
2. Examples of institution-specific actions of the Board of Governors. This document will give you an idea of specific actions that have been approved by the Board of Governors recently.

Please respond no later than Monday, April 16. We plan to discuss these responses at the May meeting of the Board of Governors, and invite you all to join us on Wednesday, May 23, for that discussion. Please reach out to Andrea Poole, Secretary of the University, with any questions (arpoole@northcarolina.edu, 919-962-3136).

cc: W. Louis Bissette, Jr., Chairman of the UNC Board of Governors
Margaret Spellings, President of the University of North Carolina System
Andrea Poole, Secretary of the University
UNC System Board Professionals
# Duties and Authorities of Boards of Trustees

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<thead>
<tr>
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<td><strong>University Strategy</strong></td>
<td><strong>Advising the Board of Governors and the chancellor (NCGS §116-33; Code Section 403A)</strong></td>
<td>By statute, and affirmed by the Board of Governors, the BOT serves as advisor to the BOG and the chancellor on matters pertaining to its institution.</td>
<td>NCGS 116-33</td>
<td>X</td>
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<td><strong>Ensure the institution complies with the mission assigned and the strategic plan adopted by the Board of Governors. [Appendix 1 (II)]</strong></td>
<td>The Board of Governors has tasked the BOT with ensuring the constituent institution's compliance with the educational, research, and public service roles assigned to it by the BOG.</td>
<td>NCGS 116-11(2)-(3)</td>
<td>X</td>
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<td><strong>Executive Staffing</strong></td>
<td><strong>Provide input to assessment of the chancellor (Policy 200.4)</strong></td>
<td>The BOG has established that every four years the president and the BOT shall conduct a comprehensive review of the chancellor’s performance that will include major campus constituencies such as faculty, students, and staff.</td>
<td>NCGS 116-11(2)</td>
<td>X</td>
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<td><strong>Establish chancellor search committee and budget, in consultation with the president, and recommend three candidates to the president. [Appendix 1 (I)(D); Policy 200.8]</strong></td>
<td>By statute, and as affirmed the by the BOG, the BOT shall establish, in consultation with the president, a search committee to fill a vacancy in the chancellorship. The chair of the BOT, in consultation with the president, shall establish a budget and identify staff for the committee. By statute, and as affirmed by the BOG, the BOT shall recommend an unranked slate of three names for consideration by the president in designating a nominee for the chancellorship for approval by the BOG, or return the slate to the search committee for further action.</td>
<td>NCGS 116-11(4)</td>
<td>X</td>
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<td><strong>Personnel and Human Resources</strong></td>
<td><strong>Adopt personnel policies [Appendix 1(I)(C)]</strong></td>
<td>The BOG has provided that the BOT may adopt personnel policies, not otherwise prescribed by state law, the University Code, or policies of the BOG, for personnel in all categories of university employment.</td>
<td>NCGS 116-11(2)</td>
<td>X</td>
<td></td>
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<td></td>
<td><strong>Special responsibility constituent institutions with management flexibility: Approve employment actions for EHRA positions [Appendix 1 (I)(A)(1)]</strong></td>
<td>The BOG has provided that the BOT of special responsibility constituent institutions with management flexibility may appoint, promote, and set the compensation for all EHRA positions consistent with the policies and salary ranges set by the BOG and the regulations and guidelines established by the president.</td>
<td>NCGS 116-30.1</td>
<td>X</td>
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<td><strong>Approve employment actions for EHRA employees (Appendix 1 (I)(A)(2)(b); Regulation 300.2.4[R])</strong></td>
<td>The BOG has delegated to the BOT the authority to approve chancellor’s appointment, promotion and compensation recommendations for EHRA employees other than tenured faculty and senior administrative positions.</td>
<td>NCGS 116-11(2)</td>
<td>X</td>
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<td><strong>Pass regulations concerning the discharge or suspension of EHRA employees, including rights of appeal (Appendix 1 (I)(B); Policy 300.2.1)</strong></td>
<td>The Board of Governors has provided the BOT authority to create regulations, consistent with applicable policies of the BOG, to govern all discharges or suspensions of faculty members and administrative personnel, other than those subject to the State Personnel Act, effectuated by the chancellor.</td>
<td>NCGS 116-11(2)</td>
<td>X</td>
<td></td>
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# Duties and Authorities of Boards of Trustees

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<td><strong>Hear faculty grievance appeals (Policy 101.3.2; Code Section 607)</strong></td>
<td>The BOG has established that a faculty member may appeal to the BOT if neither the relevant administrative official nor the chancellor makes an adjustment that is advised by the faculty grievance committee in favor of the aggrieved faculty member.</td>
<td>NCGS 116-11(2)</td>
<td></td>
<td>X</td>
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<td><strong>Create policy governing separation and/or retreat to a faculty position (Policy 300.1.6)</strong></td>
<td>The BOG has directed that the BOT establish a policy governing separation and/or retreat of administrators.</td>
<td>NCGS 116-11(2)</td>
<td></td>
<td>X</td>
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<td><strong>Make certain appointments and fix compensation (Policy 600.3.4)</strong></td>
<td>The BOG has delegated to the BOT authority to approve the following employment actions for faculty, EHRA non-faculty Tier 2 Senior Academic and Administrative Officers (SAAO Tier 2), and EHRA non-faculty instructional, research, and public service (IRPS) employees: 1) permanent and temporary appointments and salaries; 2) promotion, including faculty rank changes but excluding tenure; and 3) permanent and temporary salary increases or stipends</td>
<td>NCGS 116-11(2)</td>
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<td>X</td>
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<td><strong>Adopt political activities policy (Policy 300.5.1)</strong></td>
<td>The BOG has directed that the BOT adopt policies governing political activities of employees.</td>
<td>NCGS 116-11(2)</td>
<td></td>
<td>X</td>
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<td><strong>Approve certain petitions for candidacy and officeholding (Policy 300.5.2)</strong></td>
<td>The BOG has delegated to the BOT responsibility to resolve petitions for candidacy and officeholding by University employees other than senior academic and administrative officers, with the exception of petitions concerning candidacy for the General Assembly.</td>
<td>NCGS 116-11(2)</td>
<td></td>
<td>X</td>
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<td><strong>Avoid and adjudicate dual memberships and conflicts of interest (Policy 200.1)</strong></td>
<td>The BOG has directed that each BOT chairman shall designate a standing committee to determine whether a potential conflict is a permissible or impermissible activity and to make recommended findings as to whether this policy has been violated.</td>
<td>NCGS 116-11(2)</td>
<td></td>
<td>X</td>
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<td><strong>Admissions</strong></td>
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<td><strong>Establish admissions policies and hear admissions appeals [Appendix 1 (VIII)]</strong></td>
<td>Subject to such enrollment levels and minimum criteria for admission established by the Board of Governors, the BOG has delegated to the BOT responsibility to establish admissions policies and resolve individual admission questions for all schools and divisions within the institution. The BOG has also delegated to the BOT responsibility to hear appeals concerning individual admission cases.</td>
<td>NCGS 116-11(8a)</td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td><strong>Establish minimum requirements for undergraduate admission (Policy 700.1.1)</strong></td>
<td>The BOG has provided that any constituent institution may set admissions requirements that exceed minimums established by the BOG upon the approval of the BOT.</td>
<td>NCGS 116-11(8a)</td>
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<td>X</td>
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</table>
## Duties and Authorities of Boards of Trustees

<table>
<thead>
<tr>
<th>Duty/Authority</th>
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<td><strong>Student Services</strong></td>
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<tr>
<td>Oversee services offered to students [Appendix 1 (XI)]</td>
<td>The BOG has delegated to the BOT responsibility to determine the type, level, and extent of student services (ex: health care, athletic programs, and counseling).</td>
<td>NCGS 116-11(2)</td>
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<td>Recommend student fees [Appendix 1 (IX)(E); Policy 1000.1]</td>
<td>The BOG has delegated to the BOT responsibility to recommend to the president the amounts to be charged for application, athletics, health services, student activities, educational and technology, retirement of debt incurred for capital improvements projects, course, and special fees.</td>
<td>NCGS 116-11(7)</td>
<td>X</td>
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<tr>
<td>Oversee intercollegiate athletics [Policy 1100.1; Regulation 1100.1.1[R]]</td>
<td>The BOG has provided that BOT receive annual reports on student-athlete exceptions to the institution's undergraduate admissions criteria; financial indicators; annual institutional budget for intercollegiate athletics, including major sources of revenue and expenses; and audits of all foundations, clubs, and associations established primarily to raise money on behalf of constituent institutions.</td>
<td>NCGS 116-11(2)</td>
<td>X</td>
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<tr>
<td>Approve head coach and athletic director contracts [Policy 1100.3]</td>
<td>The BOG has directed that the BOT approve contracts of employment between an institution and a head athletic coach or an athletic director for a term longer than one year.</td>
<td>NCGS 116-11(2)</td>
<td>X</td>
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<td><strong>Capital</strong></td>
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<td>Prepare and maintain campus master plan [Appendix 1 (VI)]</td>
<td>The BOG has delegated to the BOT responsibility for preparing and maintaining a master plan for the physical development of the institution, consistent with the total academic and service mission of the institution as defined and approved by the BOG.</td>
<td>NCGS 116-11(2); NCGS 116-13.1(a)(1)</td>
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<tr>
<td>Approve plans for capital construction projects [Appendix 1 (VI)]</td>
<td>The BOG has delegated to the BOT responsibility, subject to policies of the BOG and all legal requirements relative to the construction of state-owned buildings, for the following matters concerning campus capital construction projects, which have been approved by the BOG and authorized by the state of NC: 1) the selection of architects or engineers for buildings and improvements requiring such professional services; 2) the approval of building sites; 3) the approval of plans and specifications; and 4) the final acceptance of all completed buildings and projects.</td>
<td>NCGS 116-11(2)</td>
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<td>Acquire or dispose of real property at certain thresholds [Appendix 1 (VI)]</td>
<td>The BOG has delegated to the BOT authority to authorize a proposal to acquire or dispose of an interest in real property that is valued at less than $50,000 and proceed to obtain the necessary approvals from appropriate state officials and agencies, without first obtaining the approval of the BOG.</td>
<td>NCGS 116-11(2); NCGS 116-31.12</td>
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<td><strong>Finance and Budget</strong></td>
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<td>Advise chancellor on budget administration [Appendix 1 (V)]</td>
<td>The BOG has provided that the BOT shall advise the chancellor with respect to the development of budget estimates for the institution and with respect to the execution and administration of the budget of the constituent institution, as approved by the General Assembly and the BOG.</td>
<td>NCGS 116-11(9)</td>
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<td>Create and maintain endowment funds [Policy 600.2.1]</td>
<td>By statute, and affirmed by the BOG, the BOT shall establish and maintain an endowment fund for the constituent institution.</td>
<td>N.C.G.S. § 116-36</td>
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<td><strong>Extension</strong></td>
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<td>Approve centers and institutes policies (Regulation 400.5[R])</td>
<td>The BOG has directed that the BOT approve campus level policies on centers and institutes and authorize establishment and discontinuation of institutional centers and institutes, consistent with these regulations and the directions of the president or the BOG. The BOG has provided that the BOT may delegate to the chancellor the authority to approve the discontinuation of institutional centers and institutes.</td>
<td>NCGS 116-11(2)</td>
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<td>Adopt patent and copyright policies (Policy 500.2)</td>
<td>The BOG has directed that the BOT adopt patent procedures, which shall be reviewed and approved by the President prior to approval by the BOT.</td>
<td>NCGS 116-11(2)</td>
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<td><strong>Campus Safety</strong></td>
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<td>Adopt policies on Illegal drugs (Policy 1300.1)</td>
<td>The BOG has directed that the BOT adopt a policy on illegal drugs applicable to all students, faculty, and staff.</td>
<td>NCGS 116-11(2)</td>
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<td>Adopt policies of vehicles on campus (Guideline 1300.5)</td>
<td>The BOG has directed that the BOT enact ordinances for the registration of vehicles on campus.</td>
<td>N.C.G.S. § 116-44.4(f)</td>
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Examples of UNC Board of Governors’ Institution-Specific Actions by Committee of Jurisdiction

**Budget and Finance**
- Tuition and fees
- Allocation of certain capital and operating funds
- Capital improvement projects funded from non-appropriated funds
- Institutional borrowing, including the issuance of Special Obligation Bonds
- Property transactions, other than leases, of $250,000 or more, to be submitted to the Council of State for final action.
- Lease transactions of $150,000 or more for certain campuses, to be submitted to the State Property Office for final action.
  - Transactions by Delegated Authority: In 2012, G. S. 116-31.12 delegated to the Board of Governors the authority to authorize the constituent institutions and the University of North Carolina General Administration to acquire and dispose of real property by lease if the lease was for a term of not more than ten years. The Board had delegated that authority to the president, NC State University, UNC-Chapel Hill, and East Carolina University up to $500,000.
  - Real estate transactions (acquisition and disposition)
- Millennial campus designations

**Educational Planning, Policies, and Programs**
- Academic program establishment
- Academic program discontinuation
- Naming of schools within an institution
- Institutional mission statement revisions

**Personnel and Tenure**
- Coach or athletic director contracts that contain non-standard elements
- Salary ranges set by the President for SAAO-I, SAAO-II, and EHRA Professional positions
- Salary ranges for the President and Chancellors
- Appellate review of certain campus actions and decisions involving faculty

**University Governance**
- Election of individuals to institutions’ boards of trustees and other University-related boards
- Initiation and settlement of litigation and pending litigation in circumstances where that authority has not otherwise been delegated
- Appellate review of certain campus actions and decisions involving students